



ETIQA LIFE AND GENERAL ASSURANCE PHILIPPINES, INC.

### CERTIFICATION OF ORIENTATION/TRAINING/WEBINAR

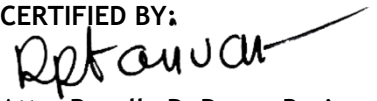
This is to certify that I have conducted the following orientation/training/webinar to each of the participants listed below. I have explained related procedures, practices and policies. Each participant was given opportunity to ask questions and practice procedures in the learning environment.

Date of Orientation/ Training/Webinar	Topic	Participants
19 February 2021	Updates on Anti-Money Laundering Act	Cash and Treasury Dept., Information Technology Div., Retail Insurance Div., Policy Services and Conservation Department - ELGAP
05 March 2021	Updates on Anti-Money Laundering Act with focus on Covered and Suspicious Transactions Reporting (CTR and STR)	Underwriting Department - ELGAP
16 March 2021	Rating Examination of Insurance Commission of ELGAP	ELGAP Board of Directors
03 June 2021	Understanding Sexual Harassment in the Workplace	ELGAP Management and Employees
04 June 2021	Data Breach and Security Incidents	ELGAP Management and Employees
15 June 2021	Anti-Bribery and Corruption Policy and Procedures	ELGAP Management and Employees
15 June 2021	Privacy Impact Assessment	ELGAP Management and Employees
19 February 2021	Updates on Anti-Money Laundering Act	Cash and Treasury Dept., Information Technology Div., Retail Insurance Div., Policy Services and Conservation Department - ELGAP
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03 June 2021	Understanding Sexual Harassment in the Workplace	ELGAP Management and Employees
04 June 2021	Data Breach and Security Incidents	ELGAP Management and Employees
16 July 2021	ELGAP's Anti-Bribery and Corruption Policy and Procedures & Privacy Impact Assessment	ELGAP Management and Employees
7 September 2021	Webinar on RA 11232 or the Revised Corporation Code of the Philippines	ELGAP Management
11 November 2021	Updates on Anti-money Laundering Act; Money Laundering and Terrorism Financing Prevention Program and; Whistleblowing Policy	ELGAP Management and Employees
13 January 2022	Train the Trainers: Anti-Bribery and Corruption Policy and Procedures	ELGAP Board of Directors and Management
15 February 2022	Day 1: Encryption Webinar in collaboration with DST	ELGAP Management and Employees
16 February 2022	Day 2: Encryption Webinar in collaboration with DST	ELGAP Management and Employees
17 February 2022	Day 2: Encryption Webinar in collaboration with DST	ELGAP Management and Employees
17 March 2022	ELGAP's Anti-Bribery and Corruption Policy and Procedures Refresher Program	ELGAP Management and Employees



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28 March 2023	Anti-bribery and Corruption Policy Refresher Program	ELGAP Management and Employees
4 April 2023	Compliance Updates (ABC Gift giving & receiving/ Donation and Sponsorship Process)	ELGAP Management and Employees
24 October 2023	Anti-Money Laundering Act Training	ELGAP Management and Employees
01 December 2023	Name Screening	ELGAP Management and Employees
14 December 2023	Data Privacy Manual and ABC policy	ELGAP Management and Employees

CERTIFIED BY:  
  
**Atty. Roselle P. Perez-Bariuan**  
*Compliance Officer*  
 15 May 2024